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| 10/24/2001 WAX Substitute for Form PTO-SB/08A, which is a USPTO Substitute for form 1449A/PTO and 1449B/PTO | | | Complete if Known | | |
| INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary) | | | Application Number | 10/806,006 | |
| | | | Filing Date | March 22, 2004 | |
| | | | First Named Inventor | Birkett | |
| | | | Art Unit | 1648 | |
| | | | Examiner Name | Peng Bo, Ph.D. | |
| Sheet | 1 | of | 2 | Attorney Docket Number | LOR-102.2 DIV I (9720/91644) |

| U.S. PATENT DOCUMENTS | | | | | |
|-----------------------|-----------------------|---|--------------------------------|--|---|
| Examiner Initials* | Cite No. ¹ | Document Number Number - Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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| FOREIGN PATENT DOCUMENTS | | | | | |
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| OTHER ART - NON PATENT LITERATURE DOCUMENTS | | | | | |
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| Examiner Initials* | Cite No. ¹ | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | | | |
| | E1 | Newman et al., "Stability and Morphology Comparisons of Self-Assembled Virus-Like Particles from Wild-Type and Mutant Human Hepatitis B Virus Capsid Proteins", <i>J. Virol.</i> , 77(24), 12950-12960 (2003) | | | |
| | E2 | Lachmann et al., "Characterization of Potential Insertion Sites in the Core Antigen of Hepatitis B Virus by the Use of a Short-Sized Model Epitope," <i>Intervirology</i> , 42:51-56 (1999) | | | |
| | E3 | Examination of Dr. Chiao Shih [lead (corresponding) author of Newman et al. is, a full Professor in the Departments of Pathology and of Microbiology and Immunology at the University of Texas Medical Branch] profile, which was obtained from the University web site (Exhibit III). | | | |
| | E4 | Office Action (Restriction Requirement) from Patent and Trademark Office for corresponding US Application Serial No. 10/677,074, dated November 16, 2004 | | | |
| | E5 | Office Action (Notice of Non-Compliant) from Patent and Trademark Office for corresponding US Application Serial No. 10/677,074, dated April 25, 2005 | | | |
| | E6 | Office Action (Restriction Requirement) from Patent and Trademark Office for corresponding US Application Serial No. 10/677,074, dated July 28, 2005 | | | |
| | E7 | Office Action from Patent and Trademark Office for corresponding US Application Serial No. 10/677,074, dated March 16, 2006 | | | |

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